

FACTS	WHAT DOES HUDSON OAK WEALTH ADVISORY LLC DO WITH YOUR PERSONAL INFORMATION?
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Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
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What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ social security number ■ income and employment information ■ account balances, account transactions, and wire transfer instructions ■ assets, investment experience, risk tolerance, and retirement assets <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
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How?	<p>All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer's personal information; the reasons Hudson Oak Wealth Advisory LLC chooses to share; and whether you can limit this sharing.</p>
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Reasons we can share your personal information	Does Hudson Oak Wealth Advisory LLC share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus.	YES	NO
For our marketing purposes – To offer our products and services to you	NO	We don't share
For joint marketing with other financial companies	NO	We don't share
For our affiliates' everyday business purposes –	YES	NO
For our affiliates' everyday business purposes – Information about your creditworthiness	NO	We don't share
For nonaffiliates to market to you	NO	We don't share

Questions?	Call 1-646-820-4858 or go to www.hudsonoakwealth.com
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Who we are

Who is providing this notice?

Hudson Oak Wealth Advisory LLC

What we do

How does Hudson Oak Wealth Advisory LLC protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>Hudson Oak Wealth Advisory LLC shares information with nonaffiliated third-party service providers (e.g., custodians, software and website application vendors, professional consultants) as necessary to provide you services. We ensure that such third party service providers agree adhere to confidentiality provisions with respect to your personal information.</p>
How does Hudson Oak Wealth Advisory LLC collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ open an account, provide account information ■ seek advice about your investments, enter into an investment advisory contract ■ direct us to buy securities, direct us to sell your securities ■ give us your income information, provide employment information ■ tell us about your investment or retirement portfolio, tell us about your investment or retirement earnings ■ make a wire transfer, tell us who receives the money, tell us where to send the money ■ give us your contact information, show your government-issued ID or your driver's license
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes – information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions

Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>■ Hudson Oak Wealth Advisory LLC is affiliated with Hudson Oak Tax Advisory LLC. Hudson Oak Wealth Advisory LLC does not share with its affiliate so it can market to you. If you engage, or have engaged, our affiliated firm Hudson Oak Tax Advisory LLC to provide tax services to you, Hudson Oak Wealth Advisory LLC may share information provided by you, tax reporting information Hudson Oak Wealth Advisory LLC has access to, and material prepared by Hudson Oak Wealth Advisory LLC to Hudson Oak Tax Advisory LLC as necessary for Hudson Oak Tax Advisory LLC to provide tax services to you.</p>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>■ Hudson Oak Wealth Advisory LLC does not share with nonaffiliates so they can market to you.</p>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p>■ Hudson Oak Wealth Advisory LLC does not jointly market.</p>